

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Our Case No.: 99,395-B)

0300
PATENT 3
#3

In re Application of:

Lawn et al.

Serial No.: 09/596,141

Filed: June 16, 2000

For: COMPOSITIONS AND METHODS FOR
INCREASING CHOLESTEROL
EFFLUX AND RAISING HDL USING
ATP BINDING CASSETTE
TRANSPORTER PROTEIN ABC1



Examiner:

Group Art Unit:

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

1. We are transmitting herewith the attached:

- a) Information Disclosure Statement (w/44) patents and references);
- b) PTO Form 1449; and
- c) Post Card

2. With respect to additional fees:

- ☒ A. No additional fee is required.
- ☐ B. Attached is a check in the amount of \$0.00.

3. **General Authorization:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on this 18th day of September, 2000.

Dated: September 18, 2000

By:

Anita J. Terpstra
Reg. No. P47,132

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PATENT

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(Case No. 99,395-B)

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Examiner:

Group Art Unit:

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicants wish to make the following references listed on the enclosed Form PTO-1449 of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56.

Copies of the references cited on the Form PTO-1449 are enclosed.

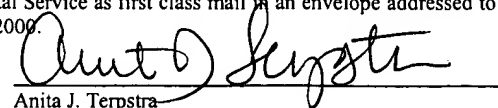
6,080,842 June 27, 2000 Hillman, et al.

5,858,719 January 12, 1999 Hillman, et al.

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231, on September 18, 2000.

Date: September 18, 2000


Anita J. Terpstra

WO 00/34461 15 June 2000 PCT International

WO 00/18912 06 April 2000 PCT International

WO 99/31133 24 June 1999 PCT International

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The Examiner is respectfully requested to consider the references in their entirety and to so indicate by initialing the appropriate box on the Form PTO-1449. By submission of the references on the Form PTO-1449, no representation is intended as to the relative importance of any portion of the references. Furthermore, such citation is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103. Applicants expressly reserve the right to establish that the aforesaid references are not prior art, and/or are not art that is analogous or relevant to the claimed invention.

This Information Disclosure Statement is being filed:

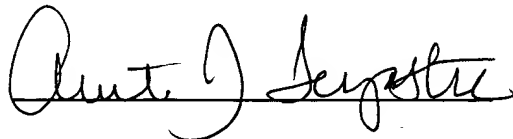
within three months of the date of filing or before the mailing date of a first Office Action on the merits, pursuant to 37 C.F.R. §1.97 (b).

Please charge any underpayment or credit any overpayment in connection with this communication to Deposit Account No. 13-2490. A duplicate copy of this communication is enclosed for this purpose.

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Respectfully submitted,



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